

# Data communication I

## Lecture 4 – flow control, error control, multiplexing

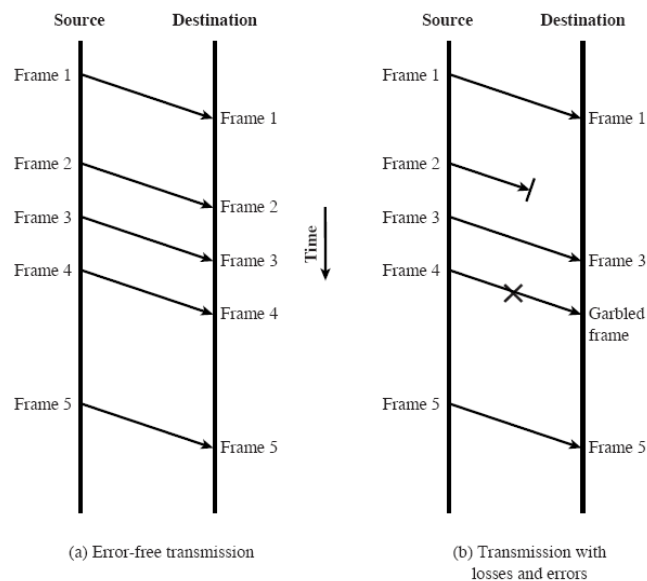
### Layer 2 functionality

- Flow control
  - The receiver regulates the data rate coming from the transmitter
- Error control
  - An error was detected and could not be corrected by the receiver itself
  - The receiver asks for retransmission
- Multiplexing
  - Sharing the medium
- Medium Access Control (MAC)
  - Who gets access to the medium, when and for how long?

## Flow control

- The transmitter might send data at a higher rate than the receiver is able to handle
- Receiving data
  - Each data frame needs to be processed before being sent to the upper layers (e.g. error detection/correction)
  - Meanwhile the frames are stored in input buffers
  - Too high data rate:
    - Buffers overflow (buffer = small memory)
    - Perfectly good data has to be thrown away
- Flow control = the receiver tells the transmitter to adjust the data rate

## Model of frame transmission



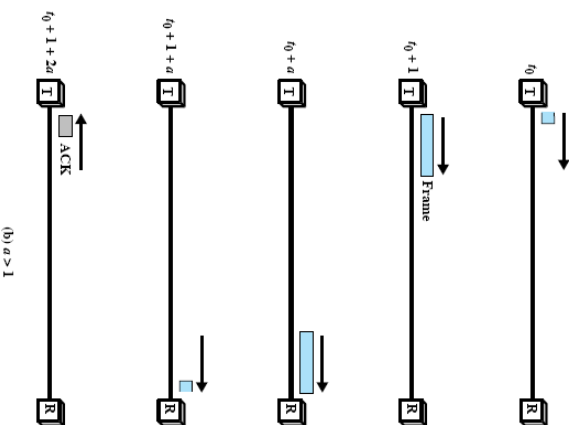
## "Stop-and-wait" flow control

- Receiver sends an acknowledgement (ACK) for each received packet back to the transmitter
- Transmitter is only allowed to send the next data frame if the previous data frame is acknowledged
- Problems with this approach?

## "Stop-and-wait" flow control

- Receiver sends an acknowledgement (ACK) for each received packet back to the transmitter
- Transmitter is only allowed to send the next data frame if the previous data frame is acknowledged
- Advantage
  - Receiver can regulate the flow on a per frame level
- Problems with this approach?
  - Only one frame is on the link at a time
  - Relatively short frames on a long link
    - Link is idle for a long times
    - Inefficient link utilization

## Inefficient link utilization with "stop and wait"



(transmission time = 1; propagation time =  $a$ )

So, why don't we send long frames anyway?

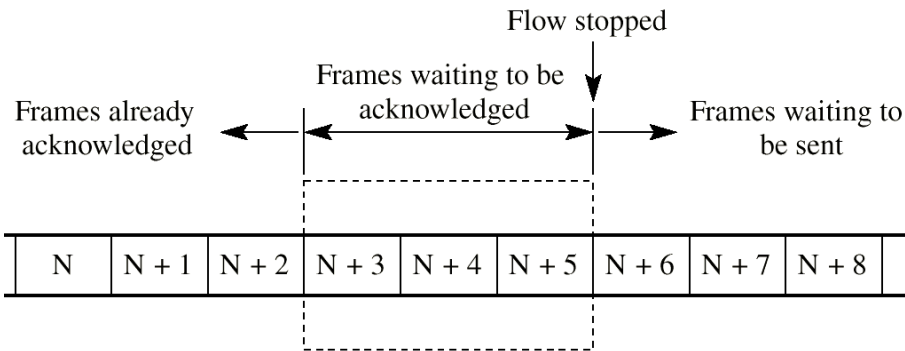
## So, why don't we send long frames anyway?

- Limited buffer size at the receiver
- The more bits in a frame the higher the probability of an error
  - A retransmission or the loss of a frame is more costly
- On a shared link one station is not allowed to occupy the link for a longer time

## "Sliding window" flow control

- More than one frame on the link at a time
- Mechanism
  - Receiver specifies window (number) of frames that its buffer can accept
  - E.g., window size  $W = 3$  means 3 frames in a row can be sent without acknowledgement
  - Transmitter sends  $W$  frames, then stops the flow until ACK is received
  - ACK specifies the sequence number of the next expected frame and thereby acknowledges that all previous frames were received correctly
- Requirements
  - Packets must be numbered (sequence number)
  - Full duplex link

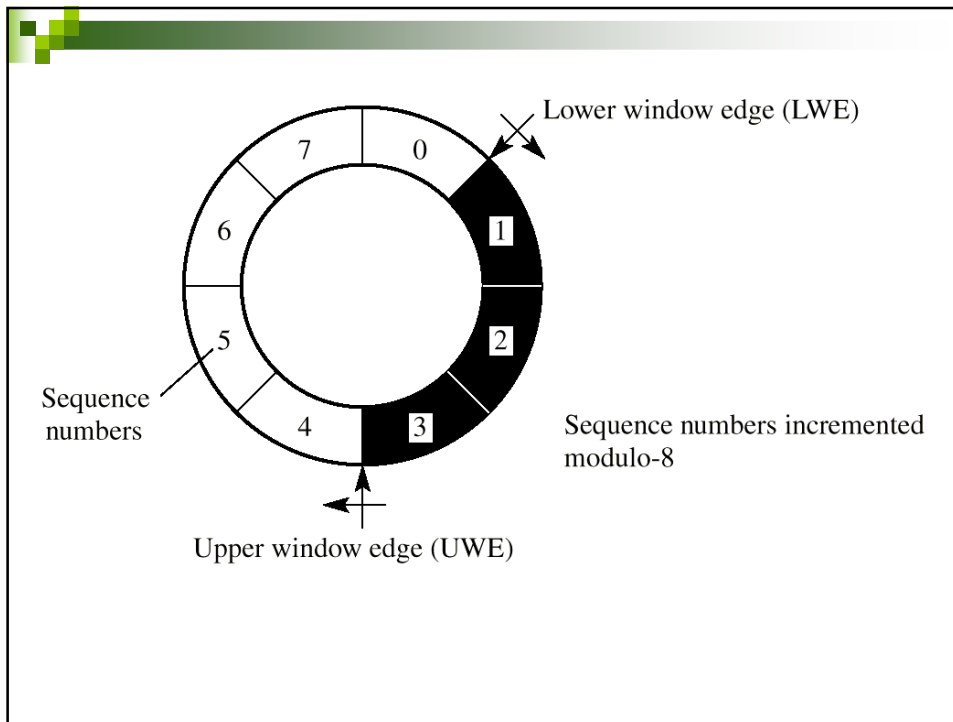
## Transmitter perspective



- Window size  $W = 3$
- No more than  $W$  frames are sent unacknowledged
- Transmitter stops data flow until  $ACK(N+6)$  is received

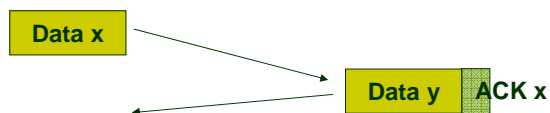
## Sequence numbers

- Sequence number in the layer 2 frame header
- Limited number of bits for sequence number
  - E.g. 3 bits  $\rightarrow$  numbers between 0 and 7 possible
  - Modulo numbering system
    - 3 bits sequence numbers given by modulo 8
  - Number of sequence numbers limits window size!
    - $k$  bits for sequence number
    - $2^k$  sequence numbers
    - Maximum window size  $W = 2^k - 1$

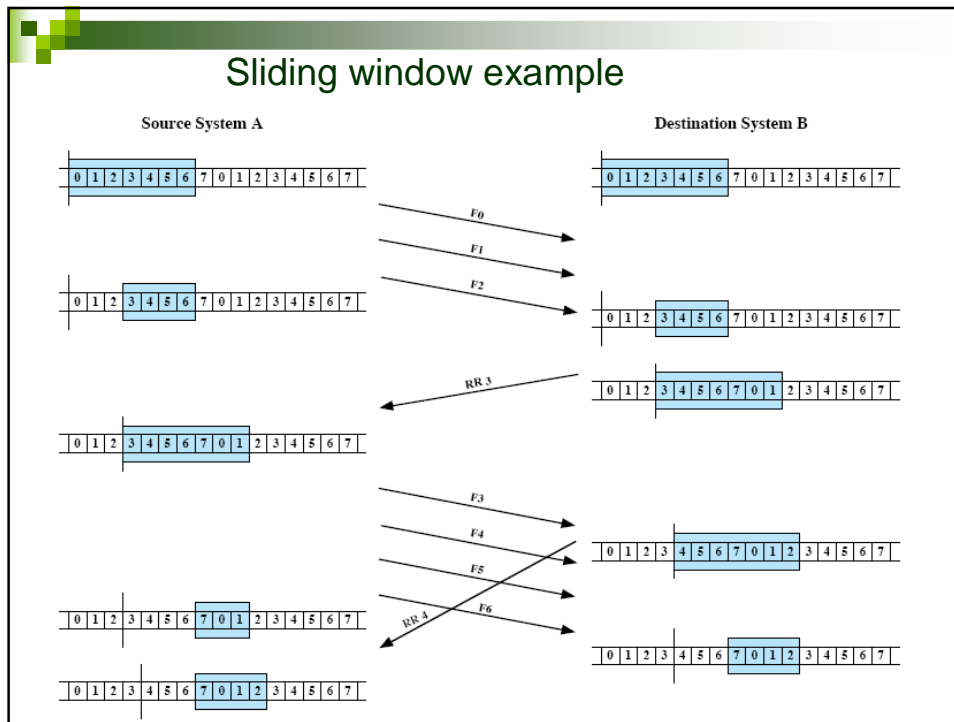


## Acknowledgements

- Receive Ready (RR)
  - Receiver states that it is ready for the next  $W$  frames
  - At the same time all previously unacknowledged frames are acknowledged
- Receive Not Ready (RNR)
  - Acknowledges all previously unacknowledged frames
  - Tells the transmitter to stop the data flow (possibly due to limited receiver buffer resources)
  - Normal RR ACK must be sent to restart the transmission
- Pickyback
  - Data frames are exchanged between 2 stations
  - ACKs for received frames are added on to data frames (piggybacked)



## Sliding window example



## Flow control performance comparison

- $T_{1\text{frame}}$  = time to send one frame over the link and receive an ACK for it
- $T_{\text{propData}}$  = time to send one data frame over the link
- $T_{\text{transData}}$  = time to send one data frame out on the link
- $T_{\text{procData}}$  = time to process the incoming data frame
- $T_{\text{propACK}}$  = time to send one ACK frame over the link
- $T_{\text{transACK}}$  = time to send one ACK frame out on the link
- $T_{\text{procData}}$  = time to process the incoming ACK
- $T_{\text{all}}$  = time to send  $n$  data frames over the link and receive ACKs for them

$$T_{1\text{frame}} = T_{\text{propData}} + T_{\text{transData}} + T_{\text{procData}} + T_{\text{propACK}} + T_{\text{transACK}} + T_{\text{procACK}}$$

$$\text{Approximately: } T_{1\text{frame}} = 2T_{\text{propData}} + T_{\text{transData}}$$

$$T_{\text{all}} = n(2T_{\text{propData}} + T_{\text{transData}})$$

## Error control

- Types of error
  - Lost frames
  - Damaged frames
- Receiver needs mechanisms to let the transmitter know
  - that an error was detected
  - or that everything is fine
- Automatic Repeat Request (ARQ)
  - Stop-and-wait ARQ (also known as "Idle RQ")
  - Continuous RQ
    - Go-back-N ARQ
    - Selective-reject ARQ (also known als "selective repeat ARQ")

## Stop-and-wait ARQ

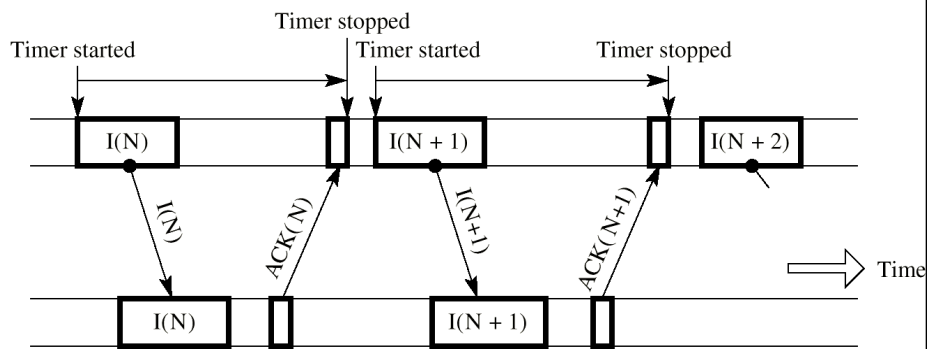
- Based on stop-and-wait flow control
  - Sending one frame at a time, awaiting ACK
- Mechanism

Transmitter sends a frame and starts a timer

  1. Error-free frame arrives at the receiver
    - ACK is sent back to the transmitter
  2. Damaged frame arrives at receiver
    - No ACK is sent
    - The transmitter's timer expires and it automatically retransmits the frame
  3. Frame is lost on the way
    - Same as in 2)
  4. Data frame is error-free, but the ACK is lost or damaged
    - Time-out occurs at the transmitter, who retransmits the packet
    - Receiver simply discards the second packet

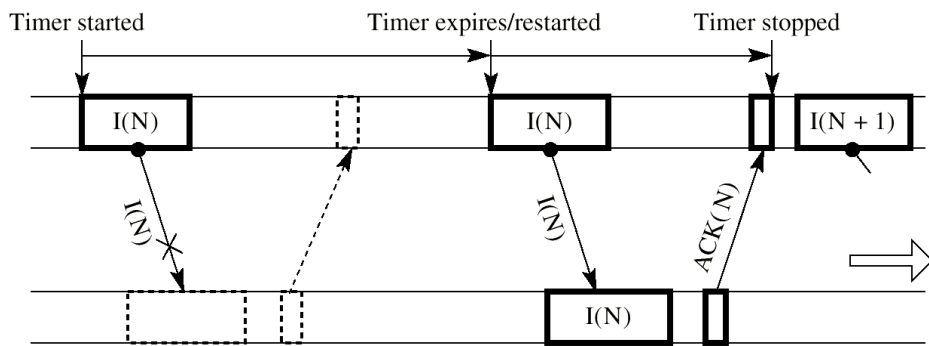
## Stop-and-wait ARQ

- No damaged or lost frames
- Positive acknowledgement (ACK) used, (usually of RR type)



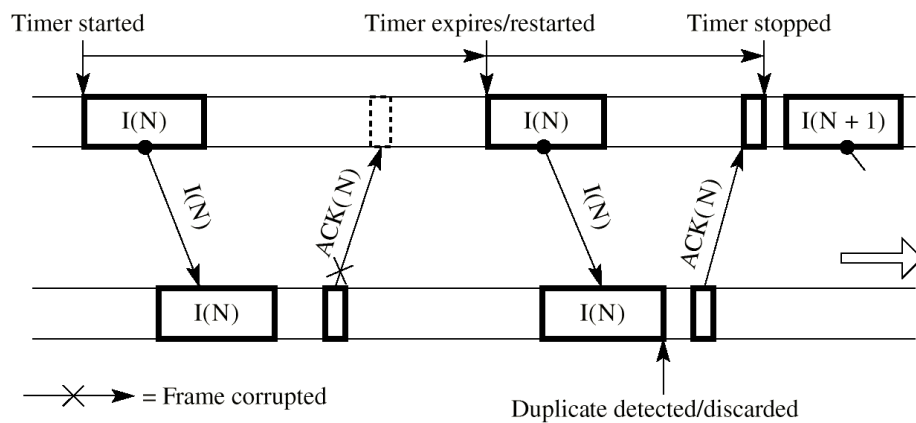
## Stop-and-wait ARQ

- Lost or damaged frame
- Positive acknowledgement (ACK)



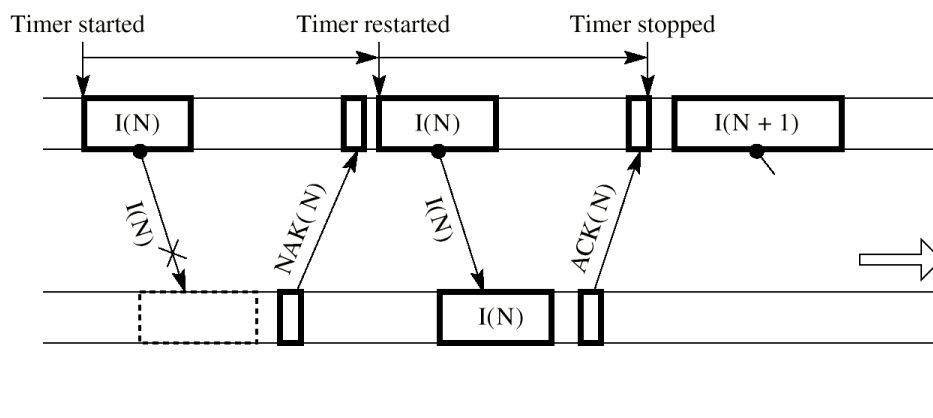
## Stop-and-wait ARQ

- Lost or damaged ACK
- Positive acknowledgement (ACK)



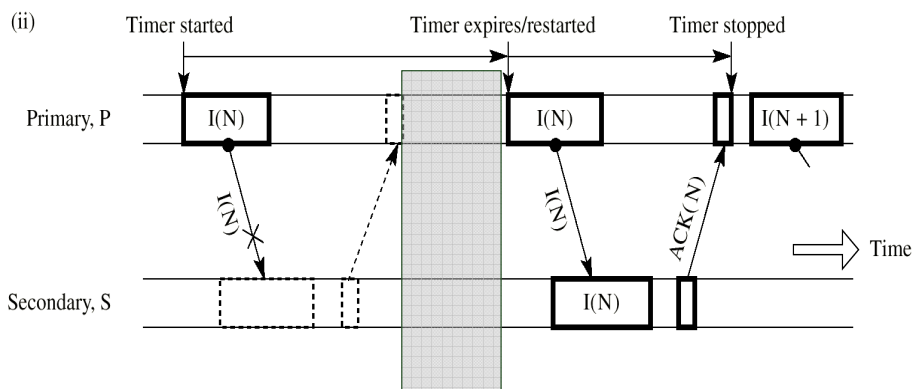
## Stop-and-wait ARQ

- Damaged frame
- Negative acknowledgement (NACK) (or REJ for "reject")



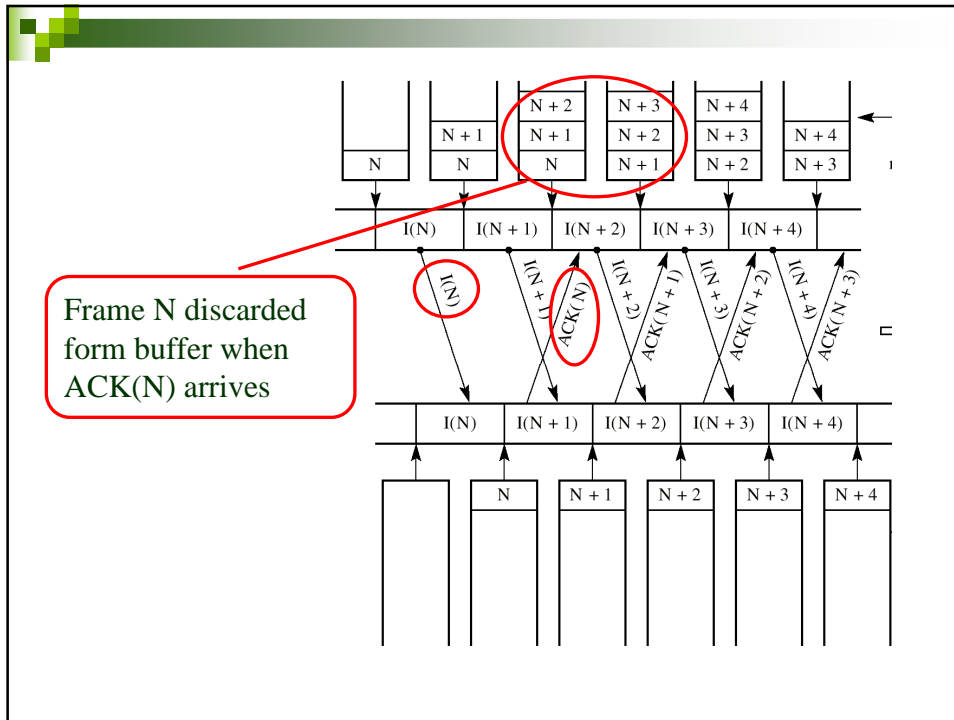
## Advantage of negative acknowledgement (NACK)

- Transmitter does not have to wait until the timer expires
- Better use of resources



## Continuous RQ

- Based on sliding window flow control
  - A series of sequentially numbered frames is sent
  - Transmitter side
    - All frames that were not acknowledged yet are buffered
  - Receiver side
- Two types
  - Go-back-N ARQ
  - Selective-repeat ARQ



## Go-back-N ARQ

### ■ Mechanism

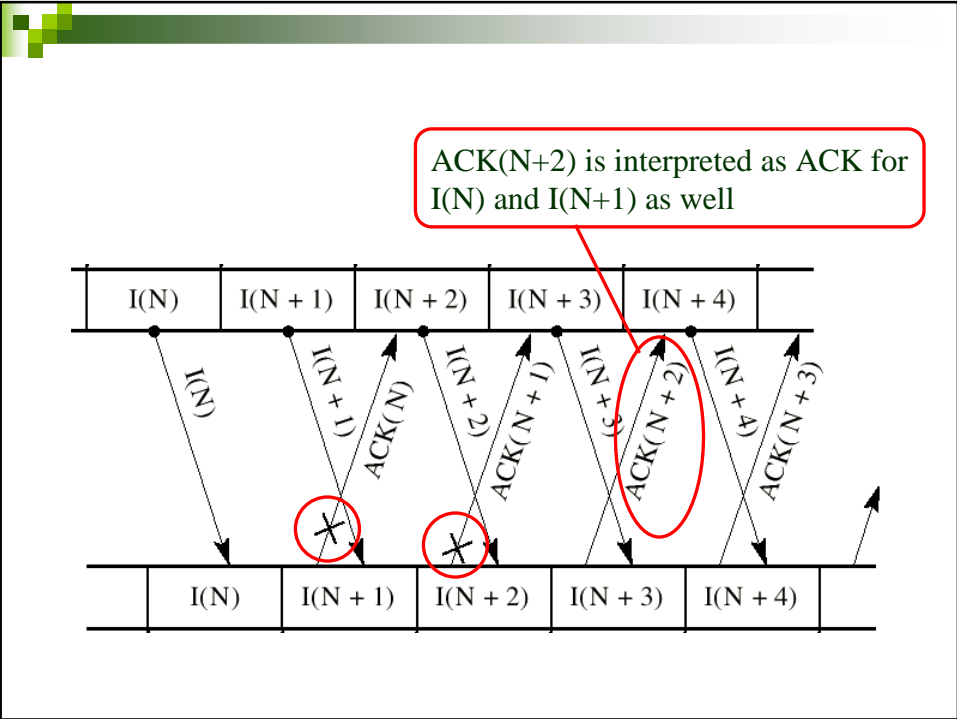
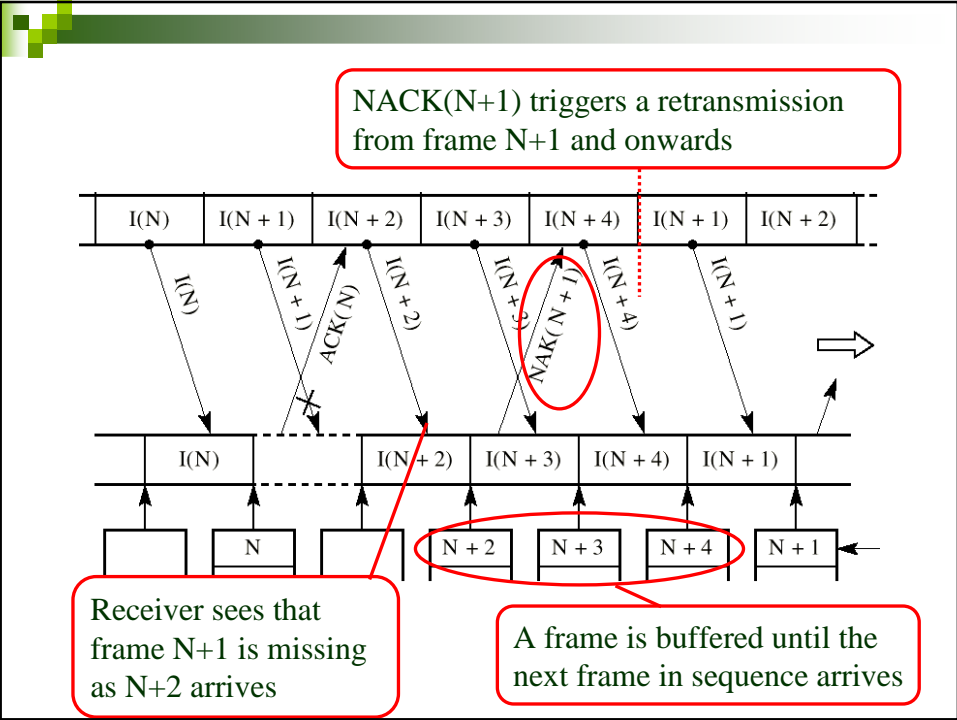
#### 1. Damaged or lost frame

- Receiver sends NACK
- Discards this frame and all the following frames until the erroneous frame is received correctly
- Transmitter receives NACK and must retransmit the frame in question and all subsequent frames it already has sent out in the meantime

#### 2. Damaged or lost RR (positive ACK)

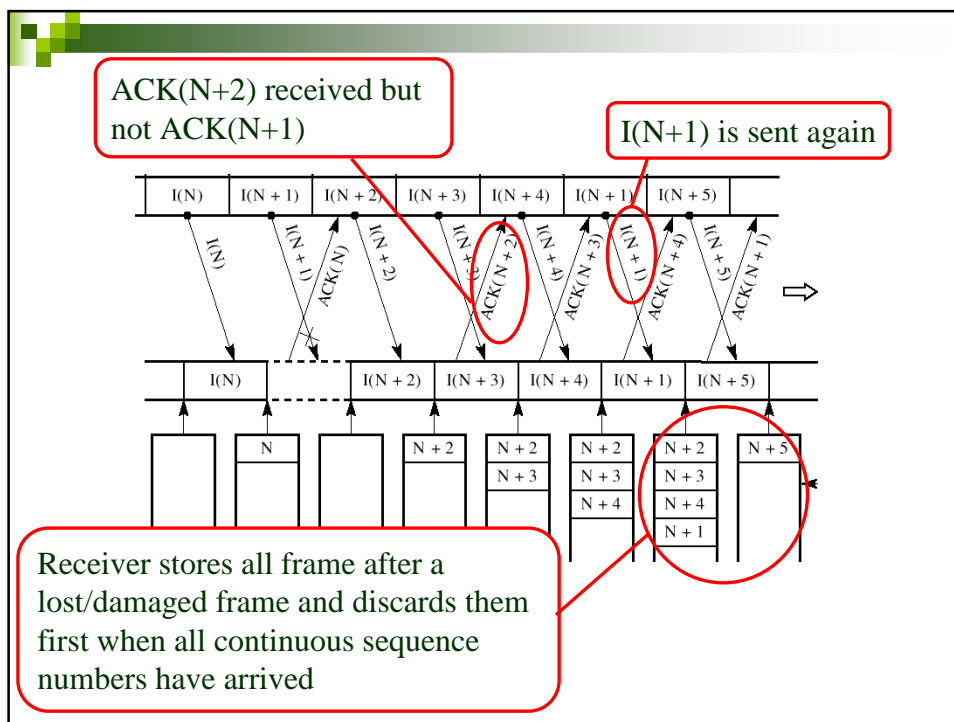
- Subsequent RR acknowledges everything up to this point

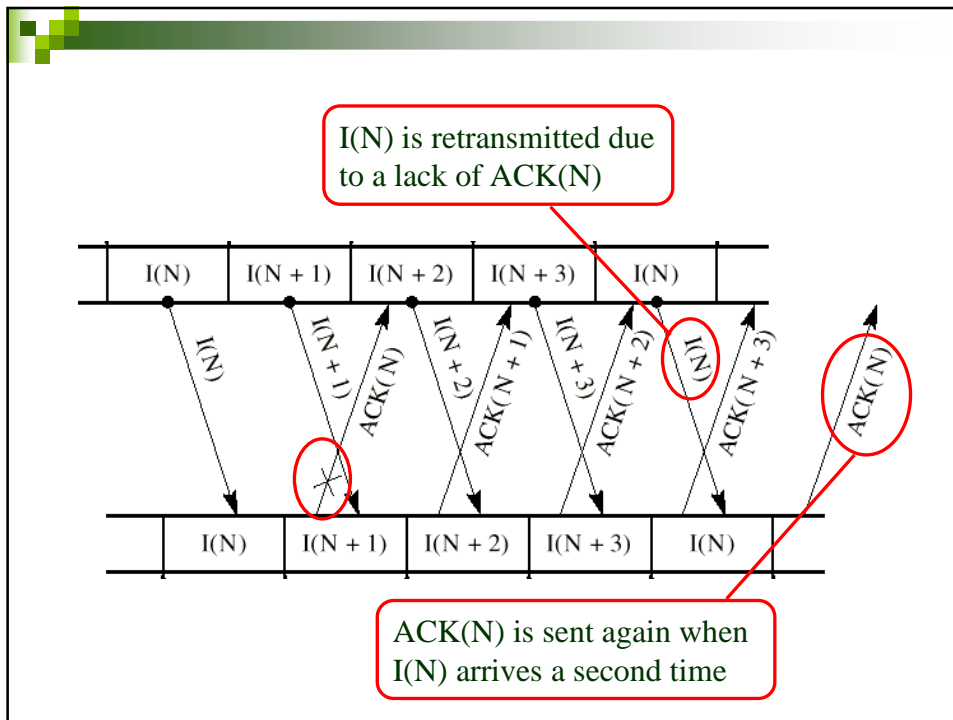
- Maximum allowed window size  $W = 2^k - 1$



## Selective-repeat ARQ

- Retransmission only after NACK or timeout
- Maximum allowed window size  $W = 2^{k-1}$





## Comparison Continuous RQ

- Go-back- $N$ 
  - Needs less buffer space at the receiver
  - When an error occurs, several (potentially non-erroneous) frames must be retransmitted
  - Most frequently used retransmission scheme
- Selective-repeat
  - Makes better use of the bandwidth especially when errors occur frequently
  - The transmitter need more complicated logic to handle "out of sequence" transmissions of frames
  - Needs more buffer space

## Flow control performance comparison

U = link utilization (= time spent on transmitting actual data compared transmitting overhead)

$n_r$  = mean number of transmission needed to get one frame correctly over the link

$$U = \frac{T_{transData}}{n_r (T_{transData} + 2T_{propData})}$$

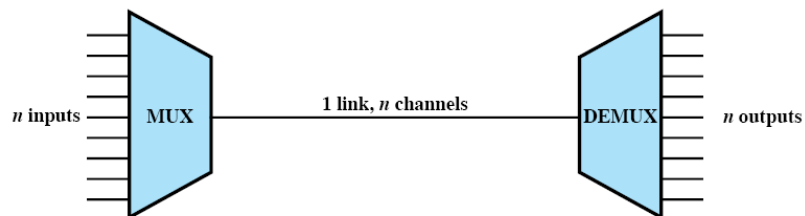
## BER (Bit Error Rate) etc.

$$P_f = 1 - (1 - P)^n \qquad n_r = \frac{1}{1 - P_f}$$

- $P_f$  = probability for an erroneous frame
- $P$  = probability for a bit error (BER)
- $n$  = number fo bits in a frame

## Multiplexing techniques

- Several transmission sources share a common medium
  - Several individual stations
  - Several applications within one station
  - Several threads within an application

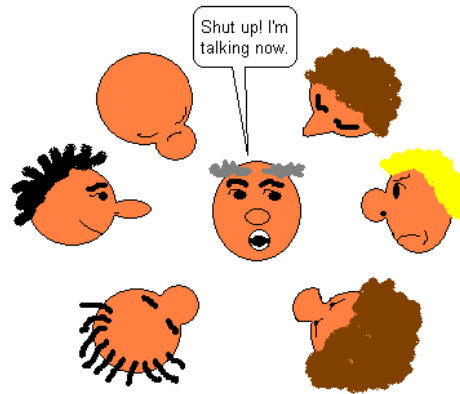
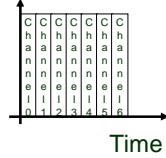


## Multiplexing techniques

- 3 techniques to share the medium
  - Time Division Multiplexing (TDM)
    - Also called Time Division Multiple Access (TDMA)
  - Frequency Division Multiplexing (FDM)
    - Also called Frequency Division Multiple Access (FDMA)
  - Code Division Multiplexing (CDM)
    - Also called Code Division Multiple Access (CDMA)

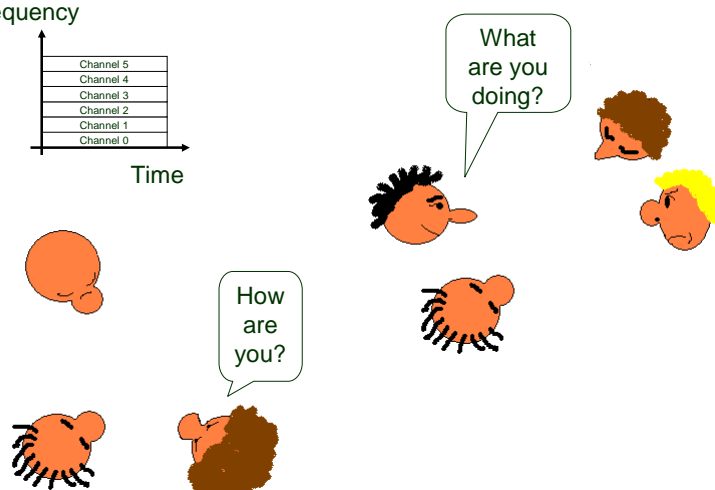
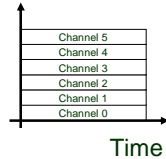
# Time Division Multiple Access

Frequency

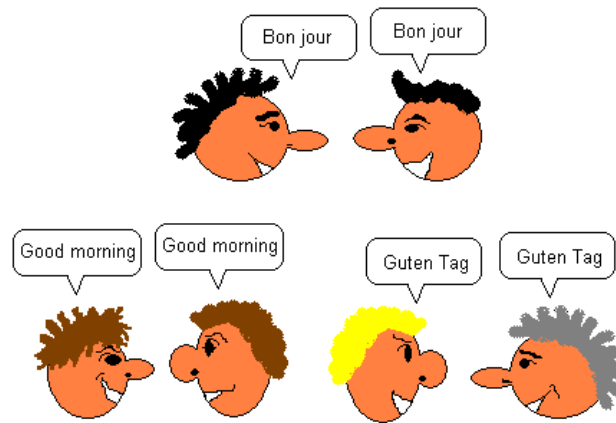


# Frequency Division Multiple Access

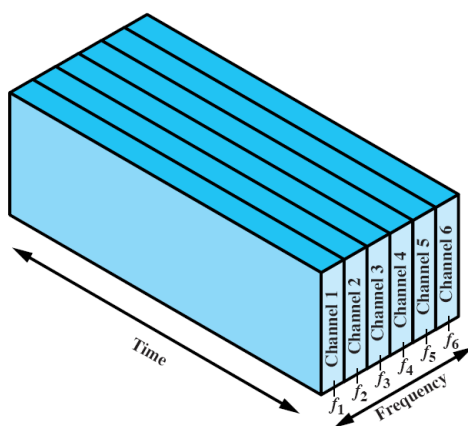
Frequency



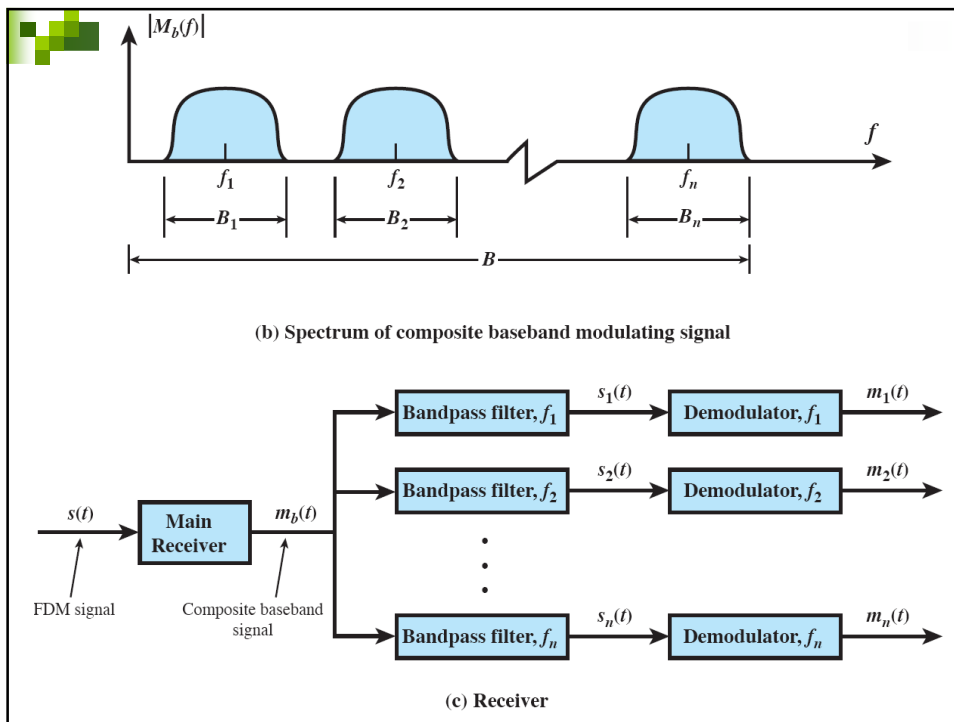
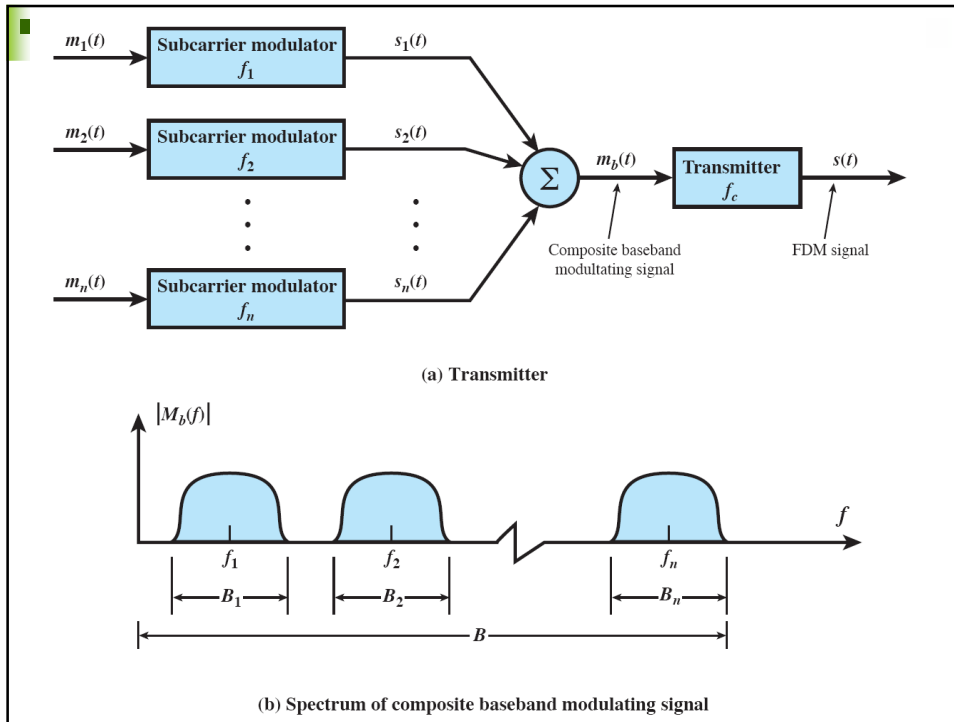
## Code Division Multiple Access



## Frequency Division Multiplexing

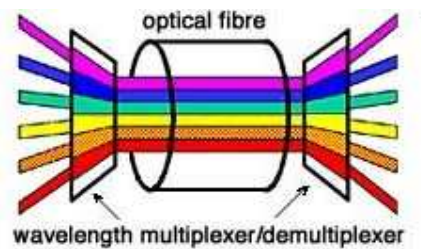


- All flows send simultaneously but on different frequencies
- The frequency channels are separated to not cause interference

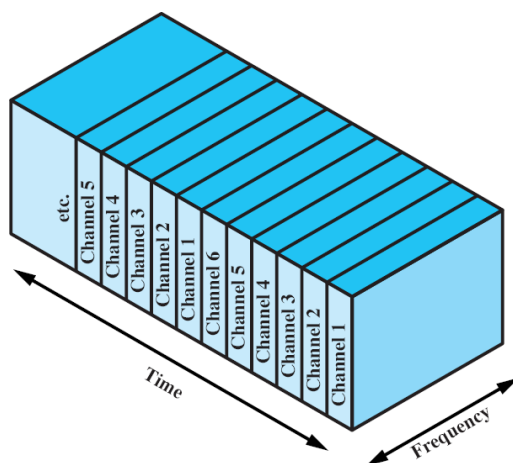


## Wavelength Division Multiplexing (WDM)

- Special case of FDM
- Used in optical communication
- Each wavelength is able to carry data
- Several wavelengths can travel through an optical fiber simultaneously without interference



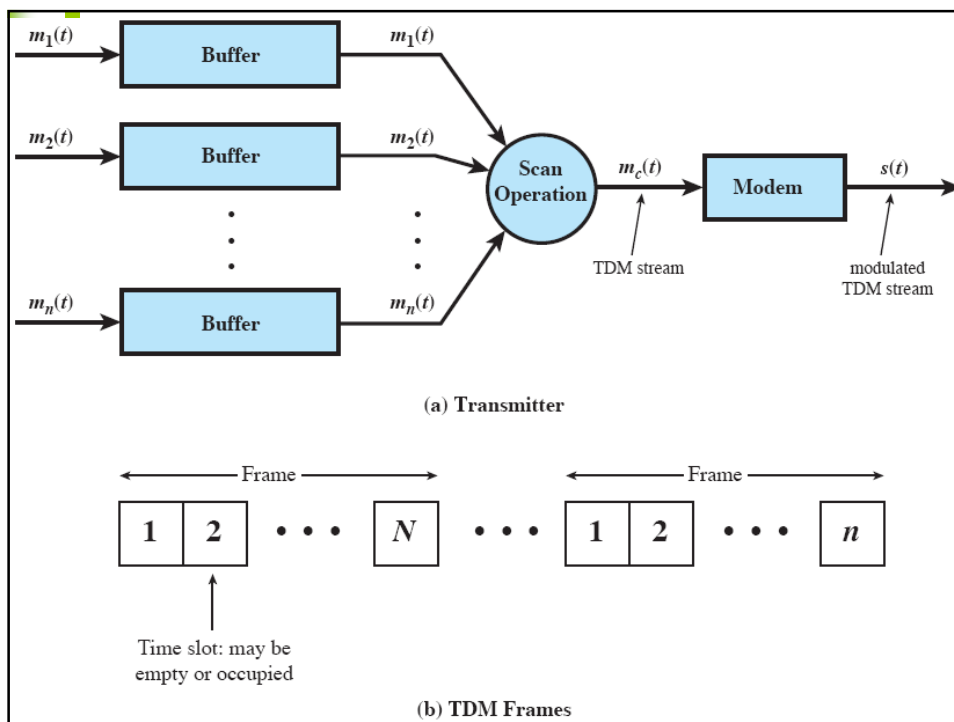
## Time Division Multiplexing

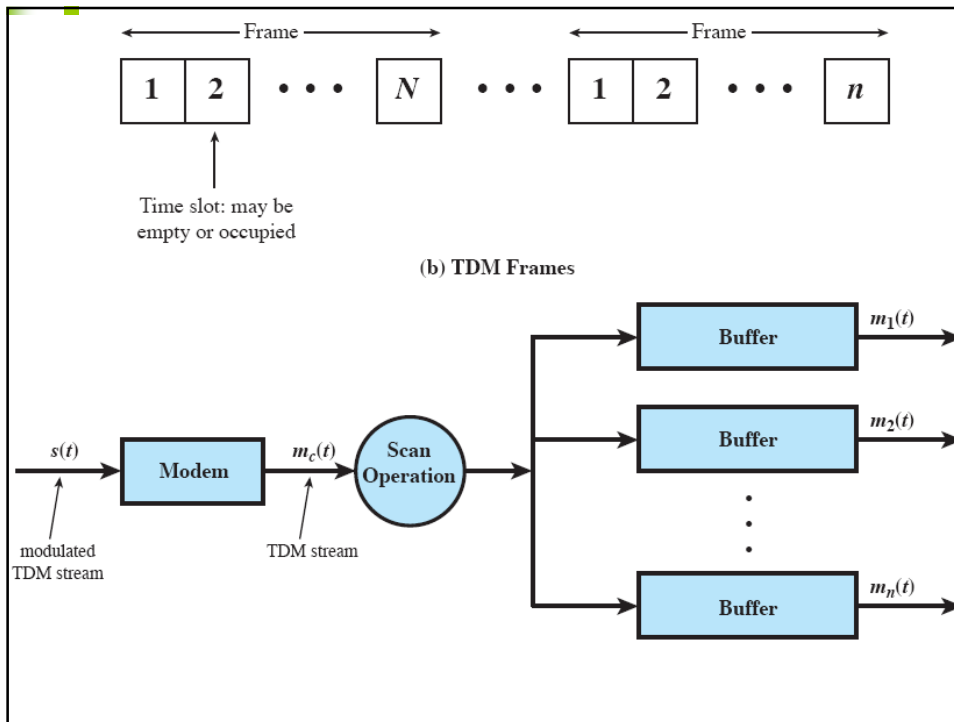


- Same frequency (or carrier in general)
- Each data flow gets its own time slot
- The assigned time slot is cyclically repeated

# TDM

- TDM might be used when achievable data rate of the medium exceeds the data rate of the signals that travel over the medium
- Signals from different sources are interleaved and sent in their individual time slot without competition
- Problem
  - If time slots are assigned to a data source and it currently has no data to send, this time slot remains empty and resources are wasted
  - Various data sources need to be synchronized





## Key terms

- Flow control
- Error control
- Retransmission
- Buffer
- Acknowledgement (ACK)
- Negative Acknowledgement (NACK)
- Link utilization
- Transmission time vs. propagation time
- Sliding window flow control
- Window size
- Sequence number
- Receive-Ready acknowledgement (RR)
- Receive-Not-Ready acknowledgement (RNR)
- Piggybacked acknowledgements
- Automatic Repeat Request (ARQ) error control
- Idle RQ vs. Continuous RQ
- Stop-and wait ARQ
- Go-back-N ARQ
- Selective-repeat ARQ
- Multiplexing
- Multiplexer, demultiplexer
- Time Division Multiple Access (TDMA)
- Frequency Division Multiple Access (FDMA)
- Wavelength Division Multiple Access (WDMA)
- Code Division Multiple Access